



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Hiroshi HATANO

Serial No.: 09/735,608

Filing Date: December 14, 2000

For: OPTICAL HEAD, RECORDING AND
REPRODUCING APPARATUS AND
SOLID IMMERSION LENS

Examiner: Kim Kwok Chu

Group Art Unit: 2653

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**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97**

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Washington, D.C. 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. A copy of the previously filed Patent Application No. 09/450,271 is submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted after receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

11/23/2004 HTECKLU1 00000130 031952 09735608

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va-83927

Serial No. 09/735,608
Docket No. 325772020700

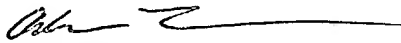
The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952 referencing docket number 325772020700.**

Respectfully submitted,

Dated: November 22, 2004

By:


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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 325772020700

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U.S. PATENT DOCUMENTS

Technology Center 2600

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
		06-12-1945	2,378,301	Edward K Kaprellan			04-08-1944
		10-16-1962	3,059,113	T.F. McHenry			05-25-1960
		10-24-1978	4,121,890	Braun			08-17-1977
		01-02-2001	6,169,637	Tsunashima			05-04-1999
		03-20-1984	4,437,746	Ikemori			03-25-1982

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
		REFLECTING MICROOPTICAL SYSTEM, filed November 25, 1999 as U.S. Serial No.: 09/450,271

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.